# Chap 2. Basic Encryption and Decryption



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#### **Objectives**

- Concepts of encryption
- Cryptanalysis: how encryption systems are "broken"

#### 2.1 Terminology and Background

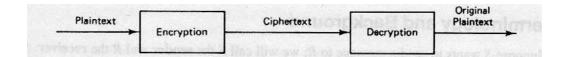
- Notations
  - S: sender
  - R: receiver
  - T: transmission medium
  - O: outsider, interceptor, intruder, attacker, or, adversary
- S wants to send a message to R
  - S entrusts the message to T who will deliver it to R
  - Possible actions of O
    - block(interrupt), intercept, modify, fabricate
    - Chapter 1

#### 2.1.1 Terminology

- Encryption and Decryption
  - encryption: a process of encoding a message so that its meaning is not obvious
  - decryption: the reverse process
- encode(encipher) vs. decode(decipher)
  - encoding: the process of translating entire words or phrases to other words or phrases
  - enciphering: translating letters or symbols individually
  - encryption: the group term that covers both encoding and enciphering

#### 2.1.1 Terminology

- Plaintext vs. Ciphertext
  - P(plaintext): the original form of a message
  - C(ciphertext): the encrypted form
- Basic operations
  - plaintext to ciphertext: encryption: C = E(P)
  - ciphertext to plaintext: decryption: P = D(C)
  - requirement: P = D(E(P))



2.1.1 Terminology

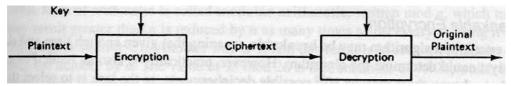
- Encryption with key
  - encryption key: K<sub>E</sub>
  - decryption key: K<sub>D</sub>
  - $C = E(K_E, P)$
  - $P = D(K_D, E(K_E, P))$

If the encryption algorithm should fall into the interceptor's hands, future messages can still be kept secret because the interceptor will not know the key value

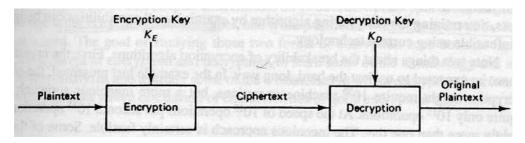
- Keyless Cipher
  - a cipher that does not require the use of a key
    - key cannot be changed

#### 2.1.1 Terminology

• Symmetric Cryptosystem:  $K_E = K_D$ 



• Asymmetric Cryptosystem:  $K_E \neq K_D$ 



2.1.1 Terminology

- Cryptography
  - cryptography means hidden writing, the practice of using encryption to conceal text
- Cryptanalysis
  - cryptanalyst studies encryption and encrypted message, with the goal of finding the hidden meaning of the messages
- Cryptology
  - includes both cryptography and cryptanalysis

#### 2.1.1 Terminology

- Cryptanalysis
  - break an encryption
  - cryptanalyst can do any or all of three different things:
    - attempt to break a single message
    - attempt to recognize patterns in encrypted messages, in order to be able to break subsequent ones by applying a straightforward decryption algorithm
    - attempt to find general weakness in an encryption algorithm, without necessarily having intercepted any messages

2.1.1 Terminology

- Breakable encryption
  - An encryption algorithm may be breakable, meaning that given enough time and data, an analyst could determine the algorithm
  - practicality is an issue
    - for a given cipher scheme, there may be  $10^{30}$  possible decipherments, so the task is to select the right one out of the  $10^{30}$
    - cryptanalyst cannot be expected to try just the hard, long way
      - another efficient algorithm may exist
    - estimates of breakability are based on current technology
      - budget dependent

	Type of Attacker	Budget	Tool	Time an per key re 40bits		Length Needed for protection in Late 1995
Key Length	Pedestrian Hacker					
,		tiny	scavenged computer time	1 week	infeasible	45
1996		8400	FPGA	5 hours (\$0.08)	38 years (\$5,000)	50
	Small Business					
		\$10,000	FPGA	12 minutes (\$0.08)	18 months (\$5,000)	55
	Corporate Department					
		\$300K	FPGA or ASIC	24 seconds (\$0.08) .18 seconds (\$0.001)	19 days (\$5,000) 3 hours (\$38)	60
	Big Company					
		\$10M	FPGA or ASIC	.7 seconds (\$0.08) .005 seconds (\$0.001)	13 hours (85,000) 6 minutes (\$38)	70
	Intelligence Agency					
		\$300M	ASIC	.0002 seconds (\$0.001)	12 seconds (\$38)	75

### 2.1.2 Representation of Characters

#### Encoding

Letter	A	В	C	D	E	F	G	H	I	J	K	L	М	
Code	0	1	2	3	4	5	6	7	8	9	10	11	12	
Letter	N	0	P	0	R	S	T	U	V	W	Х	Y	Z	
Code	13	14	15	16	17	18	19	20	21	22	23	24	25	

- modular arithmetic
  - $Y + 3 = B(24 + 3 = 27 \equiv 1 \mod 26)$
- Two forms of encryption
  - substitution: one letter is exchanged for another
  - transposition: the order of the letters is rearranged

#### 2.2 Monoalphabetic Ciphers(Substitution)

- Simple substitution
  - use a correspondence table
    - substitute each character by another character or symbol
  - monoalphabetic cipher
    - one-by-one

#### 2.2.1 The Caesar Cipher

- Named for Julious Caesar
  - Caesar used a shift of 3

$$c_i = E(p_i) = (p_i + 3) \mod 26$$

translation chart

Plaintext ABCDEFGHIJKLMNOPQRSTUVWXYZ
Ciphertext defghIjklmnopqrstuvwxyzabc

- E(TREATY IMPOSSIBLE) = wuhdwb lpsrvvleoh
  - E(T) = w, E(R) = u, etc.

#### 2.2.1 The Caesar Cipher

- Advantages and Disadvantages of the Caesar Cipher
  - advantage
    - easy to use
  - disadvantage
    - simple structure
    - easy to break

#### 2.2.2 Other Monoalphabetic Substitutions

- Permutation based
  - generalization of the Caesar cipher
  - permutation  $p: Z_{26} \rightarrow Z_{26}$ 
    - 1-1
    - example:  $p(1) = (1 + 3) \mod 26$
  - use more complex rule
    - use a key, a word that controls the enciphering

Plaintext ABCDEFGHIJKLMNOPQRSTUVWXYZ

Ciphertext keyabcdfghijlmnopqrstuvwxz

(start) key key key

#### 2.2.2 Other Monoalphabetic Substitutions

- Another variation  $p(1) = (3 \times 1) \mod 26$ 
  - p(A) = a  $p(0) = 0 \mod 26$
  - p(B) = d  $p(1) = 3 \mod 26$

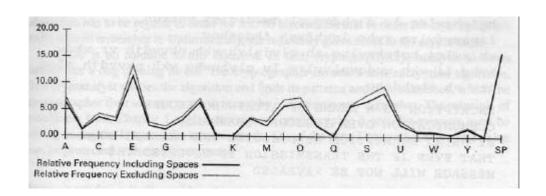
Plaintext ABCDEFGHIJKLMNOPQRSTUVWXYZ
Ciphertext adgjmpsvybehknqtwzcfilorux

#### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

- Short words, words with repeated patterns, and common initial and final letters all give clues for guessing the permutation
- Cryptanalysis of Monoalphabetic Ciphers
  - a lot like working crossword puzzle
    - try a guess
    - continue to work to substantiate that guess until you have all the words in place, or until you reach a contradiction
  - we can use more advanced technique
    - frequency distribution

### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

- Frequency Distribution
  - relative frequencies of characters in English text



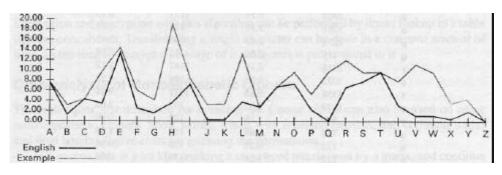
#### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

#### • Ciphertext

hqfubswlrq lv d phdqv ri dwwdlqlqj vhfxuh frpsxwdxlrq ryhu lqvhfxuh fkdqqhov eb xvlqj hqfubswlrq zh glvjxlvh wkh phvvdjh vr wkdw hyhq li wkh wudqvplvvlrq lv glyhuwhg whk phvvdjh zloo qrw eh uhyhdohg

#### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

• Cryptanalysis using frequency table



- h may be one of e, a, i, o, etc.

#### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

- Rule
  - $h \rightarrow e, d \rightarrow a, l \rightarrow i, r \rightarrow o, etc.$
- Results
  - Ciphertext
    - hqfubswlrq lv d phdqv ri dwwdlqlqj vhfxuh...
  - Plaintext
    - ENCRYPTION IS A MEANS OF ATTAINING SECURE...

#### 2.2.3 Cryptanalysis of Monoalphabetic Ciphers

- Cryptographer's Dilemma
  - an encryption algorithm has to be regular in order for it to be algorithmic and in order for cryptographers to be able to remember it
  - the regularity gives clues to the cryptanalyst
  - there is no solution to this dilemma
- Cryptography : principle of timeliness

#### Principle of timeliness(Adequate Protection):

A security measure must be strong enough to keep out the attacker for the life of the data. Data with a short time value can be protected with simple measures

#### 2.3 Polyalphabetic Substitution Ciphers

A cipher is more cryptographically secure would display a rather flat distribution, which gives no information to a cryptanalyst

- Weakness of monoalphabetic ciphers
  - their frequency distribution reflects the distribution of the underlying alphabet
- Polyalphabetic substitution cipher
  - one way to flatten the distribution
  - to combine distributions that are high with ones that are low
    - T is sometimes enciphered as 'a' and sometimes as 'b'
    - frequency of 'a' is high and that of 'b' is low

#### 2.3 Polyalphabetic Substitution Ciphers

- Simple example
  - odd position  $\mathbf{p}_1(\mathbf{l}) = (3 \times \mathbf{l}) \mod 26$

Plaintext ABCDEFGHIJKLMNOPQRSTUVWXYZ
Ciphertext adgjmpsvybehknqtwzcfilorux

- even position  $p_2(1) = ((5 \times 1) + 13) \mod 26$ 

Plaintext ABCDEFGHIJKLMNOPQRSTUVWXYZ
Ciphertext nsxchmrwbglqvafkpuzejotydi

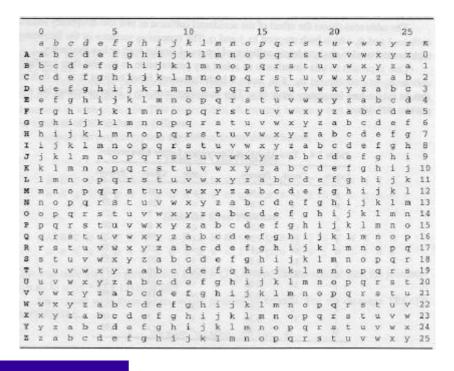
- more complex but still simple
  - extend the number of permutations

#### 2.3.1 Vigenère Tableaux

- Goal: flat distribution
- Algorithm
  - ∪se Vigenère Tableau(26×26 matrix)
  - low: letter
  - column: key(suppose key length is 6, i.e. key =  $(k_1, ...k_6)$ )
  - encryption
    - $c_i = (p_i, k_i)$  where  $j \equiv i \mod 6$
  - decryption
    - $p_j = x \text{ s.t. } c_j = (x, k_i) \text{ where } j \equiv i \mod 6$

#### 2.3.1 Vigenère Tableaux

table



2.3.1 Vigenère Tableaux

Example

```
Keyjulie tjuli etjul ...PlaintextBUTSO FTWHA TLIGH ...Ciphertextkoeas ycqsi ...
```

$$- k = (B, j), o = (U, u), e = (T, l), ...$$

• Long keywords can be used, but a keyword of length three usually suffices s to smooth out the distribution

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

- Polyalphabetic substitutions are apparently more secure than monoalphabetic substitutions
  - but still insecure
  - key length
- Two tools
  - Kasiski method for repeated patterns
  - index of coincidence

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

- Method of Kasiski
  - find the candidates of the key length
  - rely on the regularity of English
    - high frequency
    - ending: -th, -ing, -ed, -ion, -tion, -ation, etc.
    - beginning: im-, in-, un-, re-, etc.
    - pattern: -eek-, -oot-, -our-, etc.
    - word: of, and, to, the, with, are, is, that, etc.

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

- Steps(Method of Kasiski)
  - 1. identify repeated patterns of three or more characters
  - 2. for each pattern write down the position at which each instance of the pattern begins
  - 3. compute the difference between the starting points of successive instances
  - 4. determine all factors of each difference
  - 5. if a polyalphabetic substitution cipher wae used, the key length will be one of the factors that appears often in step 4.

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

Example(Method of Kasiski)

Starting Position	Distance from Previous	Factors
20		
83	63(83-20)	3, 7, 9, 21, 63
104	21(104-83)	3, 7, 21

key length is probably 3 or 7

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

- Index of Coincidence
  - a measure of the variation between frequencies in a distribution
- Notation
  - Prob<sub> $\lambda$ </sub>: probability of an  $\lambda$ , where  $\lambda \in \{a, ..., z\}$

$$\operatorname{Prob}_{a} + \operatorname{Prob}_{b} + \dots + \operatorname{Prob}_{z} = \sum_{\lambda=a}^{\lambda=z} \operatorname{Prob}_{\lambda} = 1$$

- Freq<sub> $\lambda$ </sub>: frequency of an  $\lambda$  among n ciphertext letters
- RFreq<sub> $\lambda$ </sub>: relative frequency of  $\lambda$ 
  - RFreq<sub> $\lambda$ </sub> = Freq<sub> $\lambda$ </sub> / n
  - $n \to \infty$ , RFreq<sub> $\lambda$ </sub>  $\to$  Prob<sub> $\lambda$ </sub>

## 2.3.2 Cryptanalysis of Polyalphabetic Substitutions

- Index of Coincidence
  - measure of roughness(variance)

$$\begin{aligned} \text{var} &= \sum_{\lambda=a}^{\lambda=z} (\text{Prob}_{\lambda} - \frac{1}{26})^2 & \text{IC} &= \sum_{\lambda=a}^{\lambda=z} \frac{\text{Freq}_{\lambda} \times (\text{Freq}_{\lambda} - 1)}{n \times (n-1)} \\ &= \{\sum_{\lambda=a}^{\lambda=z} \text{Prob}_{\lambda}^{\ 2}\} - \frac{1}{26} & \approx \sum_{\lambda=a}^{\lambda=z} \text{Prob}_{\lambda}^{\ 2} = \text{var} + \frac{1}{26} \end{aligned}$$

- flat distribution : IC = 0.0384
- Number of Enciphering Alphabets vs. IC

Alphabets	1	2	3	4	5	1 0	Large
IC	.068	.052	.047	.044	.044	.041	.038

## 2.3.3 Concluding Remarks on Polyalphabetic Ciphers

- Steps in analyzing a polyalphabetic cipher
  - 1. Use the Kasiski method to predict likely numbers of enciphering alphabets. If no numbers emerge fairly regularly, the encryption is probably not simply a polyalphabetic substitution
  - 2. Compute the index of coincidence to validate the predictions from step 1
  - 3. Where steps 1 and 2 indicate a promising value, separate the ciphertext into appropriate subsets and independently compute the index of coincidence of each subset

- One-time pad
  - A large nonrepeating set of keys is written on sheet of paper, glued together into a pad
  - Algorithm
    - keys are 20 characters long
    - plain text is 300 characters long
    - encryption
      - sender encrypt the plaintext using the table, like Vigenère Tableau, with 15 pages of keys
    - decryption
      - use the same pad identical to the sender

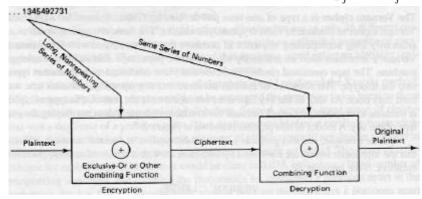
#### 2.3.4 The Perfect Substitution Cipher

- Advantage of one-time pad
  - perfectly secure
  - ciphertext does not reveal any information of the corresponding plaintext
- Problems
  - the need for absolute synchronization between sender and receiver
  - the need for an unlimited number of keys

- Random Number Generator
  - A close approximation of a one-time pad for use on computers is a random number generator.
    - Computer random numbers are not random
    - they really form a sequence with a very long period.
    - A generator with a long period can be acceptable for a limited amount of time or plaintext

#### 2.3.4 The Perfect Substitution Cipher

- The Vernam Cipher
  - a type of one-time pad
  - algorithm
    - $c_i = p_i + k_i \mod 26$
- The Binary Vernam Cipher
  - algorithm
    - p<sub>i</sub> and k<sub>i</sub> are 1-bit
    - $c_j = p_j + k_j \mod 2$ =  $p_i XOR k_i$



- Linear congruential random number generator(LCRNG)
  - $r_{i+1} = (a \times r_i + b) \bmod n$ 
    - $r_0$ : seed
    - a, b, n: constant
  - properties
    - if r<sub>0</sub> and a are relatively prime to n, each number between 0, and *n-1* will be generated before the sequence repeats

#### 2.3.4 The Perfect Substitution Cipher

- Cracking LCRNG
  - Assumption
    - $c_i = p_i + r_i \mod n$
    - $(p_0, p_1, p_2, p_3) = (M(12), E(4), M(12), O(14))$
  - Interceptor can find a, b, n, and r<sub>0</sub>
    - solve the following equations

$$r_1 = a \times r_0 + b \mod n$$

$$r_2 = a \times r_1 + b \mod n$$

$$r_3 = a \times r_2 + b \mod n$$

$$r_1 = c_1 - 4 \mod n$$

$$r_2 = c_2 - 12 \mod n$$

$$r_3 = c_3 - 14 \mod n$$

- Long Sequences form Books
  - Example: telephone book
    - the sender and the receiver agree to an identical telephone book
    - both of them agree to start at page 35
    - use two middle digits(ddd-DDdd) of each phone number mod 26 as a key letter for a polyalphabetic substitution cipher using preagreed form of Vigenère tableau
  - CAUTIONS
    - key text must be evenly distributed

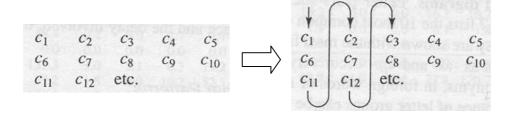
#### 2.3.5 Summary of Substitutions

- Substitutions are effective cryptographic devices
  - the basis of many cryptographic algorithms used for diplomatic communication through the first half of the previous century
- Cryptanalystic tools
  - frequency distribution
  - index of coincidence
  - consideration of highly likely letters and probable words
  - repeated pattern analysis and the Kasiski approach
  - persistence, organization, ingenuity, and lock

#### 2.4 Transpositions(Permutations)

- Transposition
  - an encryption in which the letters of the message are rearranged
  - permutation
    - a transposition is a rearrangement of the symbols of a message
- Substitution vs. Transposition
  - the goal of a substitution: confusion
  - the goal of a transposition: diffusion

- Columnar Transposition
  - a rearrangement of the characters of the plaintext into columns



### 2.4.1 Columnar Transpositions

• Example

tssoh oaniw haaso lrsto imghw utpir seeoa mrook istwc nasns

- Encipherment/Decipherment Complexity
  - Substitution vs. Transposition

	Substitution	Transposition
Time Complexity	O(n)	O(n)
Space Complexity	O(c)	O(n)
Delay	O(c)	O(n)

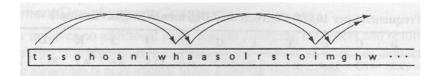
- Transposition is not especially appropriate for long messages

## 2.4.1 Columnar Transpositions

- Diagrams, Trigrams, and Other Patterns
  - there are characteristic patterns of adjacent letters
  - most common Digrams and Trigrams

Digrams	Trigrams
EN	ENT
RE	ION
ER	AND
NT	ING
TH	IVE
ON	TIO
IN	FOR
TF	OUR
AN	THI
OR	ONE

- Cryptanalysis by Digram Analysis
  - Positions of adjacent letters in Ciphertext



## 2.4.1 Columnar Transpositions

- Double Transposition Algorithm
  - Product Cipher
    - $C=E_2(E_1(P))$
  - the first transposition displaces adjacent letters
  - the second transposition breaks up the adjacency of short series of letters that happened to appear in adjacent columns of the first transposition

Т	Н	(I	S)	I	
S	A	(M	E)	S	
S	A	(G	E)	T	
0	S	(H	0)	W	
Н	0	(W	A)	C	
0	L	(U	M)	N	
A	R	(T	R)	A	
N	S	(P	0)	S	
I	T	(I	0)	N	
W	0	(R	K)	S	
	S O H O A N	S A S A O S H O O L A R N S I T	S A (M S A (G O S (H H O (W O L (U A R (T N S (P I T (I	S A (M E) S A (G E) O S (H O) H O (W A) O L (U M) A R (T R) N S (P O) I T (I O)	S A (M E) S S A (G E) T O S (H O) W H O (W A) C O L (U M) N A R (T R) A N S (P O) S I T (I O) N

T	S	S	0	H	0	A
N	I	W	Н	A	A	S
0	L	R	S	T	0	(1
(M	(G	(H	(W	(U	(T	( F
(I	(R	S)	E)	E)	0)	A)
M)	R)	0)	0)	K)	I	S
T	W	C	N	Α	S	N
S	X	Х	Х	Х	X	X

- Cryptanalysis
  - There is a functional relationship between plaintext and ciphertext character positions

• 
$$10 \times 5$$
  $E(i) = 10 * ([(i-1) \mod 5] + (i-1)/5 + 1)$   $c_{10*([(i-1) \mod 5] + (i-1)/5 + 1)} = p_i$ 

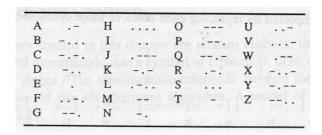
• 
$$8 \times 7$$
  $E(i) = 8*([(i-1)mod7] + (i-1)/7 + 1)$   $c_{8*([(i-1)mod7]+(i-1)/7+1)} = p_i$ 

#### 2.4.2 Generalized Transpositions

- Transpositions are somewhat difficult to analyze because they move plaintext to unpredictable places in the ciphertext
- In fact, any permuting algorithm can be used, as long as it is reversible.

#### 2.5 Fractionated Morse

- Morse Code
  - a means of representing letters as sequences of dots and dashed
  - used with telegraphs, flashing fights, and semaphore flags



#### 2.5.2 Morse Code for Encryption

- Morse Code for Encryption
  - Morse code is a three-symbol coding scheme using the symbols "dash", "dot", and "separator"
  - there are 26 letters in English alphabet
  - $-3^3=27=26+1$ , English letter  $\approx \{-, ., |\}^3 \{|||\}$
  - if keyword is wovenflax
    - English letters associated with Morse Code symbols

		The second second			the second second		10-10-10-10-10-1
w		a	.   -	i		r	1.1
0		х	.11	j	- .	S	
v		b		k	- -	t	1
е		С		m	other-	u	1-1
n		d		p	sio	У	11.
f		g	G	q	1	Z	11-
1		h					

#### 2.5.2 Morse Code for Encryption

#### • Algorithm

- step 1. the English plaintext is converted to Morse code, using separator
- step 2. the Morse code message is divided into blocks of three symbols
- step 3. each block is encoded as the letter corresponding to that three symbol pattern

#### 2.5.2 Morse Code for Encryption

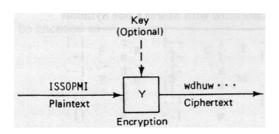
• Example(key = wovenflax)

#### 2.5.3 Cryptanalysis of Fractionated Morse

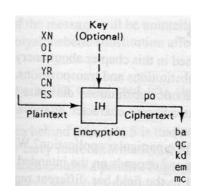
- Cryptanalysis of Fractionated Morse
  - This cipher has some of the same characteristics of the polyalphabetic cipher
    - a single letter is encoded in several different ways
    - unlike the polyalphabetic encodings, however, there is no necessary fixed repetition of the key between similar representations
  - This encryption is not very secure
    - if the basic algorithm is knows, but not the codeword, frequent ciphertext letters indicate frequent patterns

2.6 Stream and Block Ciphers

- Stream Cipher
  - convert one symbol of plaintext immediately into a symbol of ciphertext
  - figure



- Block Cipher
  - convert a group of plaintext symbols as one block
  - figure



#### 2.6.1 Stream Ciphers

- Advantage
  - Speed of Transposition
  - Low error propagation
- Disadvantage
  - Low diffusion
    - subject to the tools such as frequency distribution, digram analysis, the index of coincidence, and the Kasiski method
  - Susceptibility to malicious insertions and modifications
    - integrity

#### 2.6.2 Block Ciphers

- Example
  - transposition(columnar transposition and other transposition)
  - fractional Morse
- Disadvantages
  - the strengths of stream cipher
    - speed
    - error propagation

#### 2.6.2 Block Ciphers

- Advantages
  - Diffusion
    - information from the plaintext if diffused into several ciphertext symbols
    - one ciphertext block may depend on several plaintext letters
  - Immunity to insertions: integrity
    - it is impossible to insert a single symbol into one block
    - the length of the block would then be incorrect, and the decipherment would quickly reveal the insertion
    - active interceptor cannot simply cur one ciphertext letter out of a message and paste a new one in to change an account, a time, a date, or a name of a message

#### 2.7 Characteristics of Good Ciphers

- What does it mean for a cipher to be good?
  - The meaning of good depends on the intended use of the cipher
  - A cipher to be used by military personnel in the field has different requirements from one that will be used in a secure installation with substantial computer support

#### 2.7.1 Shannon Characteristics

- Characteristics of Good Ciphers by Claude Shannon
  - The amount of secrecy needed should determine the amount of labor appropriate for the encryption and decryption
  - The set of keys and the enciphering algorithm should be free from complexity
  - The implementation of the process should be as simple as possible
  - Errors in ciphering should not propagate and cause corruption of further information in the message
  - The size of the enciphered text should be no larger then the text of the original message

#### 2.7.2 Confusion and Diffusion

#### Confusion

- The interceptor should not be able to predict what changing one character in the plaintext will do to the ciphertext
- An algorithm providing good confusion will have a complex functional relationship between the plaintext.key pair and the ciphertext

#### Diffusion

- The cipher should also spread the information from the plaintext over the entire ciphertext
- Good diffusion means that the interceptor needs access to much ciphertext to infer the algorithm
- The substitution and permutation ciphers do not provide any diffusion

#### 2.7.3 Information Theoretic Tests

- Secure Cryptographic System
  - one where an interceptor cannot recover a plaintext message from its ciphertext
  - One-time pad(perfect transformation)
    - immune to cryptanalystic attack
    - fails if one assumes access to the pad through theft, collusion, coercion, or bribery between the interceptor and a sender or receiver

2.7.3 Information Theoretic Tests

- Notation
  - C = E(P)
  - h is the cryptanalyst;s suspectioed decipherment
  - h(C): a set of possible plaintext
    - h(C)={Poss1, Poss2,...}
- An encryption scheme is effectively secure
  - if the probability that h(C) is P is arbitrarily small; that is

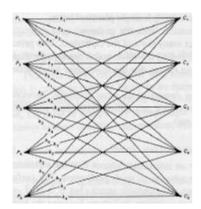
$$Prob(h(C) = P) < e$$

for arbitrarily small value  $\epsilon$ 

#### 2.7.3 Information Theoretic Tests

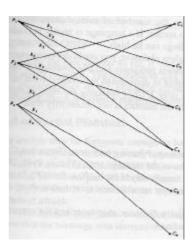
• Perfect Secrecy

$$Prob_{C_1}(h(C_1) = P) = Prob(P)$$
$$= Prob(h(C_1) = P)$$



• Imperfect Secrecy

$$Prob_{C_1}(h(C_1) = P_1) = 0$$



#### 2.7.3 Information Theoretic Tests

- Redundancy
  - Languages are inherently redundant
  - absolute rate of the language with k letters in the alphabet

$$\lceil \log_2(\mathbf{k}) \rceil$$

- English:  $\lceil \log_2(26) \rceil = 5$
- the number of possible n-letter message: 2<sup>An</sup>
- The rate of language = R
  - the number of possible meaningful message: 2<sup>Rn</sup>
- Redundancy of the language = D

• 
$$D = A - R$$

#### 2.7.4 Unicity Distance

- Shannon's Information Theory
  - Unicity distance describes the amount of ciphertext needed in order to break a cipher

$$H_C(P) = \sum_{P} Prob_C(P) * log_2 \left( \frac{1}{Prob_C(P)} \right)$$

- The unicity distance is the smallest message length n for which H<sub>C</sub>(P) is close to 0
  - unicity distance is the measure the amount of uncertainty in an encryption system

#### 2.7.4 Unicity Distance

- Example
  - if encryption has 2<sup>H(P)</sup> keys, the unicity distance is

$$N = \frac{H(P)}{D}$$

- D is the redundancy of the language
- The probability of getting a spurious decryption of a ciphertext is p=(q-1), where

$$q = \frac{2^{Rn}}{2^{An}} = 2^{(R-A)n} = 2^{-Dn}$$

• q is the likelihood of getting a string that represents nothing

#### 2.8 What the Cryptanalyst Has to work With

- Four possible situations
  - Ciphertext only ciphertext only attack
  - full or partial plaintext
    - known plaintext attack
    - probable plaintext attack
  - ciphertext of any plaintext chosen plaintext attack
  - algorithm and ciphertext chosen ciphertext attack

2.9 Summary

- Encipherment
  - substitution and transposition or permutation
- Cryptanalysis
  - frequency distribution, digram study, index of coincidence, searching for repeated patterns, study of probable letters
  - Five classic cryptanalytic attacks
    - ciphertext only attack, known plaintext attack, probable plaintext attack, chosen plaintext attack, and chosen ciphertext attack
- Formal material
  - redundancy and unicity distance

### **Further Reading**

- History
  - David Kahn, "THE CODEBREAKERS: The Story of Secret Writing," Macmillan publishing Co., Inc., 1967